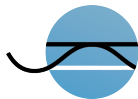


Extending Rewriting to Arbitrary Monoids

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String Rewriting Systems I

Definition

A *string rewriting system* (or historically *semi-Thue system*) is a pair (A, R) where A is a set called the *alphabet* and $R \subseteq F_A \times F_A$ is a binary relation, where F_A denotes the free monoid with generators A .

Note: We will use the notation (F_A, R) for a string rewriting system (A, R) .

Given a rewriting system (F_A, R) and words $u, v \in F_A$, we write $u \rightarrow_R v$ if there are $x, y \in F_A$ and $(r, s) \in R$ such that

$$u = xry, \quad v = xsy.$$

We write $u \rightarrow_R^* v$ if there are $v_0, \dots, v_m \in F_A$, for some $m \geq 0$, such that

$$u = v_0 \rightarrow_R \dots \rightarrow_R v_m = v.$$

String Rewriting Systems II

Definition

Given a rewriting system (F_A, R) , we say that it is:

- *Confluent*, if given any $a, b, c \in F_A$ such that $a \rightarrow_R^* b$ and $a \rightarrow_R^* c$, then there exists some $d \in F_A$ such that $b \rightarrow_R^* d$ and $c \rightarrow_R^* d$;
- *Noetherian* if there is no infinite chain $w_1 \rightarrow_R w_2 \rightarrow_R \dots$

Furthermore, we say that an element $a \in F_A$ is *irreducible* if there is no $v \in F_A$ such that $a \rightarrow_R v$.

String Rewriting Systems III

Remark

Given a Noetherian confluent rewriting system (F_A, R) , then for any $a \in F_A$, there exists a unique irreducible $\bar{a} \in F_A$ such that $a \rightarrow_R^* \bar{a}$. We call $\bar{a}$ the normal form of a . Furthermore, we denote the set of all irreducible words of F_A by $\overline{F_A}$.

Example:

- Let $A = \{a, b\}$ and $R = \{(ba, ab)\}$. This is confluent and Noetherian. Irreducible words are those of the form $a^n b^m$, for $n, m \geq 0$.

$$\textcolor{red}{b}a\textcolor{red}{b}a \rightarrow_R ab\textcolor{red}{b}a \rightarrow_R a\textcolor{red}{b}ab \rightarrow_R aabb$$

String Rewriting Systems IV

Definition

Let (F_A, R) be a string rewriting system. We define the binary relation $\leftrightarrow_R^*$ on F_A , to be the symmetric reflexive transitive closure of $\rightarrow_R$. The relation $\leftrightarrow_R^*$ is called the *Thue congruence*.

Definition

Given a string rewriting system (F_A, R) , we say that a monoid M is *presented* by (F_A, R) if $M \cong F_A / \leftrightarrow_R^*$.

Proposition

Consider a Noetherian confluent rewriting system (F_A, R) . Define a binary operation $\bar{\cdot}$ on the set of irreducibles $\overline{F_A}$ as $a \bar{\cdot} b = \overline{a \cdot b}$. Then

$$(\overline{F_A}, \bar{\cdot}) \cong F_A / \leftrightarrow_R^*$$

Question: Can we study the first-order properties of a monoid/group via the first-order properties of its presentation?

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Question

Consider a monoid $(M, \cdot)$ viewed as a first-order structure over the language $\mathcal{L} = \{\cdot\}$ and consider a string rewriting system $(F_A, \oplus, R)$ that presents it, viewed as a first-order structure over the language $\mathcal{K} = \{\cdot, R\}$.

What can we assert about the first-order behavior of $(M, \cdot)$ from the study of the first-order behavior of $(F_A, \oplus, R)$?

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Consider a monoid $(M, \cdot)$ viewed as a first-order structure over the language $\mathcal{L} = \{\cdot\}$ and consider a string rewriting system $(F_A, \oplus, R)$ that presents it, viewed as a first-order structure over the language $\mathcal{K} = \{\cdot, R\}$.

What can we assert about the first-order behavior of $(M, \cdot)$ from the study of the first-order behavior of $(F_A, \oplus, R)$?

Remark

The class of free-monoids is not axiomatizable in first-order logic.

Monoidal Rewriting Systems

Definition

A *monoidal rewriting system (MRS)* is a triple $(M, \cdot, R)$ where $(M, \cdot)$ is a monoid and $R \subseteq M \times M$ is a binary relation.

Given a MRS $(M, \cdot, R)$ and elements $u, v \in M$, we write $u \rightarrow_R v$ if there are $x, y \in M$ and $(r, s) \in R$ such that

$$u = xry, \quad v = xsy.$$

We write $u \rightarrow_R^* v$ if there are $v_0, \dots, v_m \in M$, for some $m \geq 0$, such that

$$u = v_0 \rightarrow_R \dots \rightarrow_R v_m = v.$$

The Thue Congruence

Definition

Given a MRS $(M, \cdot, R)$, we define the binary relation $\leftrightarrow_R^*$ to be the symmetric reflexive transitive closure of $\rightarrow_R$.

Proposition

For any MRS $(M, \cdot, R)$, the equivalence relation $\leftrightarrow_R^$ is a congruence on the monoid M .*

Definition

Given a MRS $(M, \cdot, R)$, we say that a monoid N is *presented* by $(M, \cdot, R)$ if $N \cong M / \leftrightarrow_R^*$.

Definition

Given a MRS $(M, \cdot, R)$, we say that it is:

- **Confluent**, if given any $a, b, c \in M$ such that $a \rightarrow_R^* b$ and $a \rightarrow_R^* c$, there exists some $d \in M$ such that $b \rightarrow_R^* d$ and $c \rightarrow_R^* d$;
- **Noetherian** if any infinite chain $w_1 \rightarrow_R w_2 \rightarrow_R \dots$ eventually stabilizes.

Furthermore, we say that an element $a \in M$ is **irreducible** if for any $v \in M$, $a \rightarrow_R v$ implies that $a = v$.

Proposition

Given a Noetherian Confluent MRS $(M, \cdot, R)$, then for any $a \in M$, there exists a unique irreducible $\bar{a} \in M$ such that $a \rightarrow_R^ \bar{a}$. We call $\bar{a}$ the **normal form** of a . We denote the set of all irreducible elements of M by $\overline{M}$.*

The / operator

Lemma

Let $(M, \cdot, R)$ be a Noetherian confluent MRS. Consider the binary operation on $\overline{M}$ given by:

$$\begin{aligned}\bar{\cdot} : \overline{M} \times \overline{M} &\rightarrow \overline{M} \\ (u, v) &\mapsto \overline{u \cdot v}\end{aligned}$$

Then $(\overline{M}, \bar{\cdot})$ is a monoid, which we call the monoid of irreducibles of $(M, \cdot, R)$ and denote by $I(M, \cdot, R)$.

Proposition

Let $(M, \cdot, R)$ be a Noetherian confluent MRS. Then $(M, \cdot, R)$ presents $I(M, \cdot, R)$, i.e.

$$I(M, \cdot, R) \cong M / \leftrightarrow_R^*$$

Examples

- Let $M = \mathbb{N}_{>0} \times \mathbb{N}_{>0}$, with $(a, b) \cdot (c, d) = (ac, bd)$ and

$$R = \{((p, p), (1, 1)) : p \text{ prime}\}$$

Then $I(M, \cdot, R) \cong (\mathbb{Q}_{>0}, \times)$

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- Fix a topological space (X, τ) and consider the monoid $(\mathcal{P}X, \cup)$. Let

$$R = \{(U, \text{cl}(U)) : U \subseteq X\}$$

Then, in $\overline{\mathcal{P}X} = \{\text{Closed subsets of } X\}$.

So $(\mathcal{P}X, \cup, R)$ is a presentation for the monoid of closed subsets of X under union.

Definition

Let $\mathcal{M} = (M, \cdot, R)$ and $\mathcal{N} = (N, *, L)$ be two MRS. A *MRS-homomorphism* $\phi : \mathcal{M} \rightarrow \mathcal{N}$ is a monoid homomorphism $\phi : (M, \cdot) \rightarrow (N, *)$ such that, for any $u, v \in M$, we have:

$$(u, v) \in R \implies \phi(u) \rightarrow_L^* \phi(v)$$

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MRS $\rightarrow$ category of MRS and MRS-homomorphisms

NCRS $\rightarrow$ full subcategory consisting of all Noetherian confluent MRS.

Lemma

*Let $(M, \cdot, R)$ and $(N, *, L)$ be Noetherian confluent MRS, and $\phi : (M, \cdot, R) \rightarrow (N, *, L)$ a MRS-homomorphism. Then, the map*

$$\begin{aligned} I\phi : (\overline{M}, \overline{\cdot}) &\rightarrow (\overline{N}, \overline{*}) \\ u &\mapsto \overline{\phi(u)} \end{aligned}$$

is a monoid homomorphism. Furthermore, I is functorial.

The functor I

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So, we have a functor

$$I : \mathbf{NCRS} \rightarrow \mathbf{Mon}$$

where **Mon** is the category of monoids.

The G operator

Let $(M, \cdot)$ be a monoid and let M^+ denote the set $M \setminus \{1\}$.

Let $\nu_M : M \hookrightarrow F_{M^+}$ be the injective function defined by $\nu_M(1) = \varepsilon$ and $\nu_M(m) = m$.

Definition

Given a monoid $(M, \cdot)$, we define $G(M)$ as the MRS $(F_{M^+}, \oplus, R_M)$, where $\oplus$ denotes concatenation and

$$R_M = \{(\nu_M(a) \oplus \nu_M(b), \nu_M(a \cdot b)) : a, b \in M\}$$

Lemma

For any monoid $(M, \cdot)$, the MRS $G(M)$ is Noetherian and confluent.

The G functor

Given two monoids $(M, \cdot)$ and $(N, *)$ and a homomorphism $\phi : (M, \cdot) \rightarrow (N, *)$, we can define a monoid homomorphism $G(\phi) : F_{M^+} \rightarrow F_{N^+}$:

$$\begin{array}{ccc} M^+ & \xrightarrow{\phi|_{M^+}} & N \\ \iota \downarrow & & \downarrow \nu_N \\ F_{M^+} & \xrightarrow{G\phi} & F_{N^+} \end{array}$$

Lemma

*Given a monoid homomorphism $\phi : (M, \cdot) \rightarrow (N, *)$, then $G(\phi) : G(M) \rightarrow G(N)$ is a MRS-homomorphism. Furthermore, G is functorial.*

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As such, we have a functor

$$G : \mathbf{Mon} \rightarrow \mathbf{NCRS}$$

What is the relationship between G and I ?

Consider $A = (\mathbb{N}, +, R)$ where $R = \{(2, 0)\}$.

Then

$$\mathrm{hom}_{\mathbf{NCRS}}(G(\mathbb{Z}_2), A) \not\cong \mathrm{hom}_{\mathbf{Mon}}(\mathbb{Z}_2, I(A))$$

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- $G(\mathbb{Z}_2) = (F_{\{1\}}, \oplus, R_M)$, where $R_M = \{(11, \varepsilon), (1, 1)\}$, and so

$$|\text{hom}_{\mathbf{NCRS}}(G(\mathbb{Z}_2), A)| = \aleph_0$$

Definition

We give **NCRS**₂ a (strict) 2-categorical structure in the following way:

- The 0-cells are Noetherian confluent MRS;
- The 1-cells are MRS-homomorphisms;
- Given two MRS-homomorphisms $f, g : (A, \cdot, R) \rightarrow (B, *, L)$, there exists a unique 2-cell $\tau : f \Rightarrow g$ if and only if, for all $a \in A$, we have $f(a) \leftrightarrow_L^* g(a)$

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Remark

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We also consider **Mon** as a strict 2-category by considering the hom-categories $\text{hom}_{\mathbf{Mon}}(M, N)$ as being discrete.

Lemma

*Let $\phi, \psi : (M, \cdot, R) \rightarrow (N, *, L)$ be two MRS-homomorphisms such that $\phi(x) \leftrightarrow_L^* \psi(x)$ for all $x \in M$. Then $I\phi = I\psi$.*

In other words, if there exists a 2-cell $\tau : \phi \Rightarrow \psi$, then $I\phi = I\psi$.

Lemma

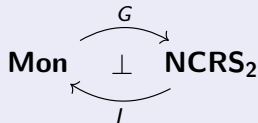
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As such, we can extend I to a strict 2-functor $I : \mathbf{NCRS}_2 \rightarrow \mathbf{Mon}$ in the obvious way. Additionally, we can also trivially extend G to a strict 2-functor $G : \mathbf{Mon} \rightarrow \mathbf{NCRS}_2$.

Theorem

The pair (G, I) forms a biadjunction, where G is left biadjoint and I is right biadjoint.



Concretely, the unit $\eta : 1_{\mathbf{Mon}} \rightarrow IG$ is the identity 2-natural transformation (in particular $IG = 1_{\mathbf{Mon}}$), and the counit $\varepsilon : GI \rightarrow 1_{\mathbf{NCRS}_2}$ is a strong transformation. Furthermore, they satisfy the triangle conditions strictly.

Generalized elementary Tietze transformations (GETT)

Question

Given a fixed monoid M , what can be said about the MRS's $(A, \cdot, R)$ such that $I(A, \cdot, R) \cong M$?

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Let $(A, \cdot, R)$ be a MRS. The following 'procedures' are called *generalized elementary Tietze transformations* (GETTs):

1. Given $a, b \in A$ with $a \leftrightarrow_R^* b$, then $(A, \cdot, R \cup \{(a, b)\})$ is obtained from $(A, \cdot, R)$ by a GETT of type 1;

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2. Given $(a, b) \in R$ with $a \leftrightarrow_{R \setminus \{(a, b)\}}^* b$, then $(A, \cdot, R \setminus \{(a, b)\})$ is obtained from $(A, \cdot, R)$ by a GETT of type 2;

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2. Given $(a, b) \in R$ with $a \leftrightarrow_{R \setminus \{(a, b)\}}^* b$, then $(A, \cdot, R \setminus \{(a, b)\})$ is obtained from $(A, \cdot, R)$ by a GETT of type 2;
3. Let $a \in A$ and v be a new symbol, i.e. $v \notin A$. Then $(A * F_v, \cdot, R \cup \{(v, a)\})$ is obtained from $(A, \cdot, R)$ by a GETT of type 3;

Type 4 GETT

Definition

Let $(M, \cdot, R)$ and $J \subseteq R$. A MRS is said to be obtained from $(M, \cdot, R)$ by a type 4 GETT if it is the result of applying only the rules present in J , while keeping the rest of the rules.

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Proposition

Performing a GETT of types 1,2,3 or 4 on a MRS does not change the monoid being presented.

Classification of the fiber $I^{-1}(M)$

Theorem

*Let $(M, \cdot)$ be a fixed monoid and let $(A, \cdot, R)$ and $(B, *, L)$ be two infinite Noetherian confluent MRS such that $I(A, \cdot, R) = (M, \cdot) = I(B, *, L)$. Furthermore, assume that $|B| = \lambda$. Then $(B, *, L)$ can be obtained from $(A, \cdot, R)$ by performing at most λ generalized elementary Tietze transformations.*

Proof sketch:

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Proof sketch:

$$\begin{array}{ccccc} & (F_{B+}, \oplus, W \cup R_M) & \longrightarrow & (F_{B+}, \oplus, L \cup R_M) & \longrightarrow & (F_{B+}, \oplus, L \cup R_B) \\ & \nearrow & & & & \downarrow \\ (A, \cdot, R) & & & & & (B, *, L) \\ \downarrow & & & & & \\ (M, \cdot, \emptyset) & & & & & \end{array}$$

Question

Given a fixed monoid M , what can be said about the MRS's $(A, \cdot, R)$ such that $I(A, \cdot, R) = M$?

Answer:

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Answer:

Corollary

*Let $(A, \cdot, R)$ and $(B, *, L)$ be two Noetherian confluent MRS. Then $I(A, \cdot, R) \simeq I(B, *, L)$ if and only if one can be obtained from the other via a (possibly infinite) sequence of GETTs.*

Future work: Model Theory of MRS

Question

Consider a monoid N viewed as a first-order structure over the language $\mathcal{L} = \{\cdot\}$ and consider a MRS $(M, \cdot, R)$ that presents it, viewed as a first-order structure over the language $\mathcal{K} = \{\cdot, R\}$.

How much can we know about the first-order behavior of N from the first-order behavior of $(M, \cdot, R)$?

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How much can we know about the first-order behavior of N from the first-order behavior of $(M, \cdot, R)$?

Proposition

*Let $(A, \cdot, R) \preceq (B, *, L)$ be two Noetherian confluent MRS. Then $I(A, \cdot, R) \preceq_{\Sigma_1} I(B, *, L)$ and $I(A, \cdot, R) \preceq_{\Pi_1} I(B, *, L)$.*

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Definition

Let $\mathcal{M}$ be a Noetherian confluent MRS. We let $\mathcal{M}_{\text{nf}}$ denote the structure obtained from $\mathcal{M}$ by adding a new unary functional symbol nf , which is interpreted in $\mathcal{M}$ as the function that sends each element to its normal form.

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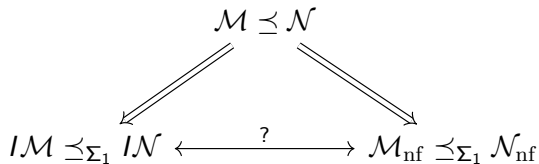
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Let $\mathcal{M} \preceq \mathcal{N}$ be two Noetherian confluent MRS. Then $\mathcal{M}_{\text{nf}} \preceq_{\Sigma_1} \mathcal{N}_{\text{nf}}$ and $\mathcal{M}_{\text{nf}} \preceq_{\Pi_1} \mathcal{N}_{\text{nf}}$.



Proposition

Let $\mathcal{M}$ be a Noetherian confluent MRS and $\phi(\bar{v})$ a formula in the pure language of monoids. Then there exists a formula $\phi_{\text{nf}}(\bar{v})$ using the unary functional symbol nf such that, for any tuple of irreducible elements $\bar{a}$ of $\mathcal{M}$, we have that $I\mathcal{M} \models \phi[\bar{a}]$ if and only if $\mathcal{M}_{\text{nf}} \models \phi_{\text{nf}}[\bar{a}]$.

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Corollary

Let $\mathcal{M}$ and $\mathcal{N}$ be two Noetherian confluent MRS.

- 1. If $\mathcal{M}_{\text{nf}} \equiv \mathcal{N}_{\text{nf}}$, then $I\mathcal{M} \equiv I\mathcal{N}$;*
- 2. If $\mathcal{M}_{\text{nf}} \preceq \mathcal{N}_{\text{nf}}$, then $I\mathcal{M} \preceq I\mathcal{N}$;*
- 3. If $f : \mathcal{M}_{\text{nf}} \rightarrow \mathcal{N}_{\text{nf}}$ is an elementary embedding, then $I(f) : I\mathcal{M} \rightarrow I\mathcal{N}$ is also elementary.*

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Strong Transformations

Let $\mathbf{C}, \mathbf{D}$ be two 2-categories and $F, G : \mathbf{C} \rightarrow \mathbf{D}$ two strict two functors.

A *strong transformation* $\alpha : F \Rightarrow G$ consists of:

- For each object $X \in \mathbf{C}$, a 1-cell $\alpha_X : F(X) \rightarrow G(X)$;
- For each arrow $f : X \rightarrow Y$ in $\mathbf{C}$, a 2-cell: $\alpha_f : Gf \circ \alpha_X \Rightarrow \alpha_Y \circ Ff$

Such that, for every 2-cell $\theta : f \rightarrow g$ in $\mathbf{C}(X, Y)$, the following equality of pasting diagrams holds:

The diagram shows an equality between two pasting diagrams. Both diagrams have four nodes: FX (top-left), GX (top-right), FY (bottom-left), and GY (bottom-right).
 Left diagram: $FX \xrightarrow{\alpha_X} GX$ and $FY \xrightarrow{\alpha_Y} GY$ are horizontal 1-cells. Fg and Ff are curved arrows from FX to FY , with $F\theta$ as a 2-cell between them. Gg and Gf are curved arrows from GX to GY . A 2-cell α_f is shown between the paths $Gf \circ \alpha_X$ and $\alpha_Y \circ Ff$.
 Right diagram: The same horizontal 1-cells and curved arrows are present. A 2-cell α_g is shown between the paths $Gg \circ \alpha_X$ and $\alpha_Y \circ Fg$. A 2-cell $G\theta$ is shown between the curved arrows Gg and Gf .
 The two diagrams are separated by an equals sign, indicating their equality.

Biadjunction

Let $\mathbf{C}$ and $\mathbf{D}$ be strict 2-categories and consider two strict 2-functors $L : \mathbf{C} \rightarrow \mathbf{D}$ (left adjoint) and $R : \mathbf{D} \rightarrow \mathbf{C}$ (right adjoint);

$$\mathbf{C} \begin{array}{c} \xrightarrow{L} \\ \perp \\ \xleftarrow{R} \end{array} \mathbf{D}$$

A *biadjunction* is given by two strong transformations $\eta : 1_{\mathbf{C}} \rightarrow RL$ (unit) and $\varepsilon : LR \rightarrow 1_{\mathbf{D}}$ (counit) such that η and ε satisfy the triangle identities up to invertible modifications, known as triangulator modifications. Furthermore, we require these triangulator modifications to be coherent, i.e. we require them to satisfy the swallowtail identities.

The counit $\varepsilon : Gl \rightarrow 1_{\mathbf{NCRS}_2}$

The *counit*

$$\varepsilon : Gl \rightarrow 1_{\mathbf{NCRS}_2}$$

is defined as follows:

- Given an object $(A, \cdot, R)$, we define ε_A to be the 1-cell:

$$\begin{aligned}\varepsilon_A : Gl(A, \cdot, R) &\rightarrow (A, \cdot, R) \\ a_1 \oplus \dots \oplus a_n &\mapsto a_1 \cdot \dots \cdot a_n\end{aligned}$$

- Given a 1-cell $\phi : (A, \cdot, R) \rightarrow (B, *, L)$, we define ε_ϕ to be the unique 2-cell:

$$\begin{array}{ccc} Gl(A, \cdot, R) & \xrightarrow{\varepsilon_A} & (A, \cdot, R) \\ Gl\phi \downarrow & \swarrow & \downarrow \phi \\ Gl(B, *, L) & \xrightarrow{\varepsilon_B} & (B, *, L) \end{array}$$

Example 1

For $n \geq 1$, let $C_n = \{c_0^n, c_1^n, \dots, c_n^n, t_n\}$. Let $S^* = \bigcup_{n \geq 1} C_n$ with multiplication defined as $x \cdot y = x$. Let M be the monoid obtained from S^* by adding an identity.

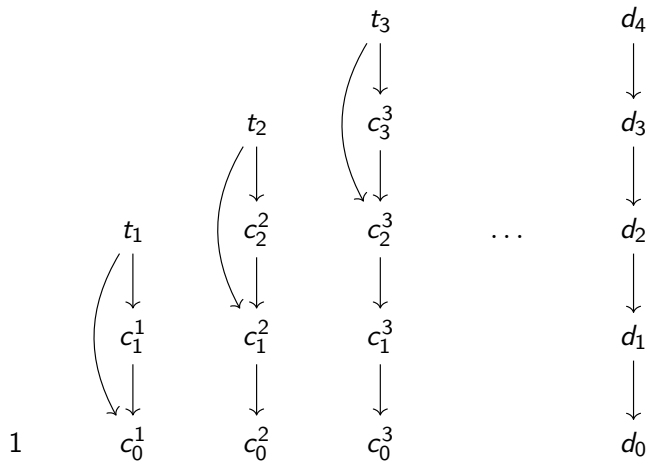
Let $\mathcal{M} = (M, \cdot, R)$ where

$$R = \{((c_{i+1}^n, c_i^n)) : n \geq 1 \text{ and } 1 \leq i < n\} \cup \{(t_n, c_n^n), (t_n, c_{n-1}^n) : n \geq 1\}$$

Define $\mathcal{N} = (N, \cdot, L)$ from $\mathcal{M}$ by adding $D = \{d_0, d_1, \dots\}$ as elements and

$$L = R \cup \{(d_{n+1}, d_n) : n \geq 1\}$$

Example 1



$$\forall x \exists yzw ((x = 1) \vee (\text{nf}(x) = \text{nf}(y) \wedge R(y, z) \wedge R(y, w) \wedge z \neq w))$$

Future work: Upper Bounded MRS

Definition

Let M be a MRS. We say that M is *upper bounded* if there exists some N such that every rewriting chain of sequentially distinct elements has length less than N .

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Remark

Every string rewriting system $(F_A, \oplus, R)$ that is upper bounded is trivial, i.e. if R contains a rule of the form (u, v) with $u \neq v$, then (F_A, R) is not upper bounded.